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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/812,055	03/30/2004	Reinhard Poetzsch	11884/415801

23838  
 KENYON & KENYON  
 1500 K STREET, N.W., SUITE 700  
 WASHINGTON, DC 20005



CONFIRMATION NO. 1300

## FORMALITIES LETTER



\*OC000000012901962\*

Date Mailed: 06/08/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted***Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is **\$130** for a Large Entity

- **\$130** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts  
 Commissioner for Patents  
 P.O. Box 1450  
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*A copy of this notice **MUST** be returned with the reply.*

07/21/2004 EFLORES 00000063 110600 10812055

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T. Ketsel

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR:

Reinhard POETZSCH

APPL. NO. 10/812,055

FILING DATE: March 30, 2004

TITLE: Method and System for Derivatives  
Pricing

GROUP ART UNIT: 3624

EXAMINER: To Be Assigned

**Mail Stop Missing Parts**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

**REPLY TO NOTICE TO FILE MISSING PARTS**


Sir:

In response to a Notice to File Missing Parts of Nonprovisional Application, mailed June 8, 2004, Applicant submits the following documents:

- a copy of the Notice to File Missing Parts of Nonprovisional Application; and
- a Declaration and Power of Attorney for Patent Application (3 pages).

The Office is authorized to charge **\$130.00** (the late declaration surcharge) to **Deposit Account No. 11-0600**. The Office is further authorized to charge any additional fees or credit any overpayments under 37 C.F.R. §1.16 or §1.17 to **Deposit Account No. 11-0600**. A duplicate copy of this sheet is enclosed for that purpose.

Respectfully submitted,



Michael I. Angert  
Reg. No. 46,522

Date: July 20, 2004

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